

Reliability and Validity of the Short Schema Mode Inventory (SMI)

Jill Lobbestael

Maastricht University, the Netherlands

Michiel van Vreeswijk

Psychomedical Centre G-kracht, Delft, the Netherlands

Philip Spinhoven

Leiden University, the Netherlands

Erik Schouten and Arnoud Arntz

Maastricht University, the Netherlands

Background: This study presents a new questionnaire to assess schema modes: the Schema Mode Inventory (SMI). **Method:** First, the construction of the short SMI (118 items) was described. Second, the psychometric properties of this short SMI were assessed. More specifically, its factor structure, internal reliability, inter-correlations between the subscales, test-retest reliability and monotonically increase of the modes were tested. This was done in a sample of $N = 863$ non-patients, Axis I and Axis II patients. **Results:** Results indicated a 14-factor structure of the short SMI, acceptable internal consistencies of the 14 subscales (Cronbach α 's from .79 to .96), adequate test-retest reliability and moderate construct validity. Certain modes were predicted by a combination of the severity of Axis I and II disorders, while other modes were mainly predicted by Axis II pathology. **Conclusions:** The psychometric results indicate that the short SMI is a valuable measure that can be of use for mode assessment in SFT.

Keywords: Schema modes, schema-focused therapy, reliability, validity, psychometrics.

Introduction

Schema-Focused Therapy (SFT) has become increasingly popular, which is reflected in its implementation in many clinical and forensic institutes. A large Dutch outcome study

Reprint requests to Jill Lobbestael, Maastricht University, Department of Clinical Psychological Science, PO Box 616, 6200 MD Maastricht, the Netherlands. E-mail: jill.lobbestael@maastrichtuniversity.nl

comparing SFT with Transference Focused Psychotherapy (TFP) on changes in borderline personality disorder criteria, quality of life, cost-effectiveness and drop-out demonstrated that SFT was superior to TFP (van Asselt et al., 2008; Giesen-Bloo et al., 2006). Despite the growing interest in SFT, empirical tests of central SFT concepts lag behind.

One of the central concepts in SFT is the so-called “schema modes” that represent the moment-to-moment emotional and cognitive states and coping responses that are active at a given point in time. Schema modes can be triggered by emotional events and an individual may shift from one mode into another. This way, the mode concept describes the rapid shifting in emotion and behaviour demonstrated by patients suffering from severe personality disorders (PDs) (Lobbestael, van Vreeswijk and Arntz, 2007; Young, Klosko and Weishaar, 2003). According to SFT, PDs are characterized by specific sets of modes. Young et al. (2003) identified 10 schema modes that can be grouped into four broad categories. The first category of modes is the maladaptive child modes that develop when certain basic emotional needs were not adequately met in childhood. The second category of modes is the dysfunctional coping modes that reflect an overuse of the coping styles of overcompensation, avoidance or surrender. Dysfunctional parent modes are the third mode category and they reflect internalized behaviour of the parents towards the patient as a child. Finally, there is the Healthy Adult mode, which includes functional cognitions, thoughts and behaviours (Young et al., 2003). Next to the 10 modes, additional modes have been put forward to give a better description of specific PDs (Arntz and Bögels, 2000; Lobbestael et al., 2007; Young et al., 2003). Until now, three studies assessed mode presence in samples with PDs (Arntz, Klokman and Sieswerda, 2005; Lobbestael, Arntz and Sieswerda, 2005; Lobbestael, van Vreeswijk and Arntz, 2008).

A first step in adequate mode assessment is the development and psychometric validation of a mode questionnaire. The mode questionnaires used so far only covered a limited set of modes. Therefore an international group recently developed the Schema Mode Inventory (SMI, Young et al., 2007) that purports to measure 16 schema modes. So far, no research has been done on the psychometric properties of the newly developed SMI. Because of the large number of SMI items ($N = 270$), the first goal of the current study was to develop a short version of the SMI. The second aim of this study was to provide reliability and validity data on this short SMI. Specifically, the factor structure of the short SMI was tested, as well as internal consistency of and the inter-correlations between the subscales. Next, test-retest reliability was estimated over a 4-week period. Since modes are hypothesized to be especially prominent in PD populations, it was tested whether schema modes scores monotonically increased from non-patient controls to Axis I patients to Axis II patients. Finally, concurrent validity of the SMI (i.e. convergent and divergent validity) was investigated.

Method

Participants

Data were analyzed from 863 participants, including 319 non-patient controls without psychopathology, 136 patients with Axis I and 236 patients with Axis II disorders. Thirty-seven participants were patients who did not meet the minimum required number of traits of any of the Axis I or II diagnoses. Sixteen participants were screened as non-clinical participants, but met some criteria on Axis I or II without fulfilling the complete diagnostic criteria for a specific disorder. Due to missing values, there were no SCIDs available for 119 non-patient

controls. The data of 691 participants (319 non-patients controls, 136 Axis I and 236 Axis II patients) were used to assess monotonically increase of the modes. Fifty non-patients were used to assess test-retest reliability, and 348 participants (187 patients and 161 non-patients) for construct validity. The complete sample ($N = 863$) was used to assess the other research questions. Patients were recruited from general outpatient settings, chronic and acute inpatient and forensic mental health-care treatment institutes within the Netherlands and Belgium. The patients of the clinics and prisons were contacted to participate in this study by their therapists who were informed about the in- and exclusion criteria of the patients targeted for this study. The therapists provided general verbal information and an information letter of this study to these patients and if the patients indicated that they were willing to participate, they were contacted by the experimenter. Non-patient controls were recruited by means of advertisement. General exclusion criteria were age < 18 and > 70 years, intoxication by alcohol or drugs during testing, IQ below 80, and not being a native speaker of Dutch.

Of the 863 participants, 42.9% were male, 57.1% female. Mean age of the sample was 34 years ($SD = 11.80$, range 18–70). Ninety-six percent were Dutch, 2.8% Belgium, 0.9% had another nationality. One percent received no education, 6% attended primary school, 34.3% high school or low-level vocational studies, 27% completed secondary education and 31.7% a higher education. Thirty-nine percent were married, 60.8% were single. Of all patients, 58.5% were recruited from outpatient and 29.4% from inpatient settings and 12.1% from a forensic institute. Of the 744 participants who underwent SCID interviews, 12.4% had a borderline PD, 8.1% avoidant PD, 6.3% depressive PD, 4.9% antisocial PD, 4.6% obsessive-compulsive PD, and 3.5% paranoid PD. Other PDs occurred in 3% or less of the cases. Regarding Axis I diagnoses, 25.5% had an anxiety disorder, 19.8% a mood disorder, 14.3% substance abuse, 7.9% an eating disorder, and 4.6% a somatoform disorder.

Materials

Schema Mode Inventory, long version. English items were translated into Dutch using back translation; the most widely used and accepted method for obtaining equivalence between the source and target language. We used a forward translation from English to Dutch, a back translation from Dutch and English, and compared these two translations by a review team. Both forward and back translators and members of the review team were bilingual professionals who were also familiar with psychological terms. The two translators were blind to each other. Finally, the Dutch items were pilot tested on a small Dutch sample ($n = 10$, Wang, Lee and Fetzer, 2006). A total of 270 items had to be scored on frequency using a 6-point scale ranging from “never or hardly ever” to “always”. An overall score was calculated from the scale sum score divided by the number of items in that scale. The higher the score, the more frequent were the manifestations of the modes. Items of the SMI reflected emotions, cognitions or behaviours. The number of items per scale ranged from 10 to 31. In order to reduce bias in the factor analysis and to minimize response tendencies, the items of the different SMI subscales were presented in a fixed random order. Administration time of this long SMI was about 40 minutes (for a description of the modes see Lobbestael et al., 2008).

Screening instruments. The Structured Clinical Interview for DSM-IV Axis I and Axis II disorders (SCID I and SCID II, First, Spitzer, Gibbon, Williams and Benjamin, 1994; First, Spitzer, Gibbon and Williams, 1997) were used to assess DSM-IV Axis I and II diagnoses.

Interviewers were psychotherapists or master-level students of psychology with at least one year clinical experience. Interviewers were extensively trained in a 2-day workshop in SCID administration by the first author of this manuscript and performed at least 10 SCID interviews in training sessions before administering the SCID interviews for this study autonomously. One hundred and fifty-one interviews of this study were rated twice (by means of audio taping the original interview), and yielded high inter-rater reliabilities values (Kappa values of SCID I diagnoses varied from .61 to .83, with a mean of .71; ICC for SCID II between .69 and .95, with a mean of .82; Lobbestael, Leurgans and Arntz, in press). Raters were blind to each other's diagnoses and to the patient's diagnoses.

Temperament and Character Inventory (TCI; Cloninger, Przybeck, Svrakic and Wetzel, 1994). The TCI identifies seven basic personality dimensions based on Cloninger's psychobiological theory of personality, including four temperament and three characteristic dimensions. The TCI comprises 25 facets, and 13 of these were assessed for the current study, making a total of 96 items that had to be answered in a true-false format. Psychometric properties of the Dutch TCI were adequate (Duijsens, Spinhoven, Goekoop, Spermon and Eurelings-Bontekoe, 2000). Cronbach's α of the TCI subscale varied between .43 and .80 in the current sample. Research in Dutch samples demonstrated that the TCI subscales had adequate internal consistencies, with Cronbach α ranging from .62 to .90 with an average of .78 and good test-retest values (between .77 and .90, Duijsens and Spinhoven, 2000; Duijsens et al., 2000). Positive correlations were hypothesized to be found between the TCI revengefulness scale and the Angry Child and the Bully and Attack modes; TCI impulsiveness and Impulsive Child; TCI in persistence and purposeless with Undisciplined Child; TCI uninhibited optimism with Happy Child; TCI detachment with Detached Protector; TCI persistence with Demanding Parent, and TCI self-acceptance and uninhibited optimism with the Healthy Adult mode. A negative correlation was expected between TCI independence and the Compliant Surrender mode.

Irrational Belief Inventory (IBI; Timmerman, Sanderman, Koopmans and Emmelkamp, 1993). The IBI measures five dimensions underlying irrational beliefs (Ellis, 1962). This study only included the 14 Rigidity scale items that measured high moral values, that had to be scored on a 5-point Likert scale, ranging from "strongly agree" to "strongly disagree". Psychometric properties of the Dutch IBI were adequate (Koopmans, Sanderman, Timmerman and Emmelkamp, 1994). Cronbach's α of this subscale was .79 in the current sample. Research indicated that the Rigidity scale is highly reliable ($\alpha = .85$) and has good validity (Koopmans et al., 1994). The sum of the rigidity items was hypothesized to correlate with the Punitive Parent mode.

State-Trait Anger Scale (STAS; Spielberger, Jacobs, Russel and Crane, 1983). The STAS measures trait and state anger. Each scale has 10 items with four response categories, ranging from "almost never" to "almost always". Psychometric properties of the Dutch STAS were adequate (van de Ploeg, Defares and Spielberger, 1982). Cronbach's α of this subscale was .95 in the current sample. The scale validity was good to excellent for the trait anger (varied between .88 and .94; mean = .91), and good for the state scale (between .75 and .88; mean = .81). Test-retest reliability for trait anger was high ($\alpha = .78$, van de Ploeg et al., 1982). Since the SMI-r is trait-defined, trait anger was hypothesized to correlate positively with the Angry and Enraged Child, and the Bully and Attack modes.

Personality Disorder Belief Questionnaire (PDBQ; Dreesen and Arntz, 1995). The PDBQ assesses PD-specific beliefs. This study only included the Narcissism scale consisting of 20 assumptions that had to be rated on 100 VAS-scales, anchoring “I don’t believe this at all” and “I believe this completely”. No previous study assessed the PDBQ Narcissism scale but internal consistency of the Narcissistic scale of the Personality Belief Questionnaire (which resembles the PDBQ very much) proved to be good ($\alpha = .85$, Butler, Brown, Beck and Grisham, 2002). Furthermore, Cronbach α of this subscale was .93 in the current sample. The Narcissism scale of the PDBQ was expected to show a positive correlation with the Self Aggrandizer mode.

Loneliness Scale (LS; de Jong Gierveld and van Tilburg, 1999). The LS defines loneliness as a subjective experience that is not directly related to situational factors. The LS is an 11-item questionnaire referring to social and emotional loneliness that has to be scored on a 5-point scale ranging from “yes” to “no”. Psychometric properties of the LS were adequate (de Jong Gierveld and van Tilburg, 1999). Cronbach’s α of this subscale was .98 in the current sample. Scale reliability was between .80 and .90 (Cronbach’s α or rho), the scale homogeneity with Loevingers’ H varied in the .30 to .50 range (de Jong Gierveld and van Tilburg, 1999). The LS was expected to show a positive correlation with the Vulnerable Child mode.

Relationship Scales Questionnaire (RSQ; Griffin and Bartholomew, 1994). The RSQ contains 30 attachment statements. The current study only included the four items of the Fearfulness attachment that had to be rated on a 5-point scale, ranging from “not at all like me” to “very much like me”. Internal consistency of this scale in the current sample was $\alpha = .77$. Fearful attachment was hypothesized to correlate positively with the Vulnerable Child mode and the Detached Protector mode.

Utrecht Coping List (UCL; Schreurs, van de Willige and Brosschot, 1993). The UCL measures 7 types of coping behaviour of which only the Palliative reaction pattern (distraction seeking to not having to think about the problem) was included for this study. The 8 items had to be scored on a 4-point Likert scale from “seldom or never” to “very often”. Psychometric properties of the UCL proved to be adequate (Schreurs et al., 1993). Cronbach’s α of this subscale was .72 in the current sample. The palliative scale has a reasonable reliability (Cronbach α ’s vary from .64 to .76, mean = .69, test-retest vary from .52 to .69, mean = .57, Schreurs et al., 1993). Palliative reaction was expected to correlate with the Detached Self-Soother mode.

Childhood Trauma Questionnaire (CTQ; Bernstein and Fink, 1998). The CTQ (28 items) consists of 5 clinical scales: physical, emotional and sexual abuse, and physical and emotional neglect, and a 3-item minimization/denial scale to detect maltreatment under-reporting. Each had to be scored on a 5-point Likert scale ranging from “never true” to “very often true”. Psychometric properties of the CTQ proved to be adequate (Bernstein et al., 2003; Scher et al., 2001). Cronbach’s α of this subscale was .94 in the current sample. All 5 scales showed adequate to good reliability (mean α ranging from .69 to .94), and the reliability for the entire measure was .91. Self-report responses on the CTQ scales are highly stable over time and show good convergent and divergent validity with trauma histories that have been ascertained by other measures (Bernstein et al., 2003; Scher, Stein, Asmundson, McCreary and Forde, 2001). A positive relationship between the CTQ and the Vulnerable Child mode was expected.

Procedure

Participants were tested individually. First, SCID I and II were administered, which took 1–4 hours. In the next 2-hour session, participants filled out the SMI and the questionnaires to assess construct validity. All participants signed informed consent and received a financial compensation of 5 euros. This study was approved by the Medical Ethical Committee of the Academic Hospital in Maastricht.

Statistical analyses

Construction of the short SMI out of the long SMI. Since mild deviations from normality were found in SMI item scores, ratings were square root transformed. The factor structure of the long version of the SMI (270 items) was assessed by means of Confirmatory Factor Analyses (CFA), employing Structural Equation Modeling (SEM, LISREL software 8.54, Jöreskog and Sörbom, 2001). The fits of three models were tested: (1) The original structure of the SMI with 16 subscales, which was the most differentiated model. (2) An eight-factor model in which the modes were clustered thematically. This semi-parsimonious model contained three childhood factors: vulnerability (Lonely and Abandoned/Abused Child), anger (Angry and Enraged Child), and lack of discipline (Impulsive and Undisciplined Child); three maladaptive coping factors: surrender (Compliant Surrender), avoidance (Detached Protector and Detached Self-Soother) and overcompensation (Self-Aggrandizer, Over Controller and Bully/Attack mode); one maladaptive parent factor (Punitive and Demanding Parent); and one healthy factor (Happy Child and Healthy Adult). (3) A four-factor model reflecting the four main mode categories: dysfunctional child modes, dysfunctional parent modes, dysfunctional coping modes and healthy modes. Because the Happy Child mode differs markedly from the other child modes in that it is the only non-pathological mode, the Happy Child mode was combined with the Healthy Adult mode into one adaptive factor in this four-factor model. This final model was the most parsimonious. Missing data were estimated by means of multiple imputation for missing value analyses, using the regression method in which all SMI items were the predictors. The goodness-of-fit was evaluated using the comparative fit index (CFI), the Non-Normed Fit Index (NNFI), the Standardized Root Mean Square Residual (SRMR), the Root Mean Square Error of Approximation (RMSEA), the χ^2 -test and the degrees of freedom. Following Hu and Bentler (1999), CFI, NNFI, values above .95, SRMR values below .08, and RMSEA values below .07 are considered indicative of a good fit.

Second, the Multiple Group Method (MGM; Holzinger, 1944) was used to select the items for the short SMI for each scale of the model that showed the best fit in the CFA. MGM is based upon a correlation matrix of each item with each scale. The method consists of (a) constructing the subscales as simple sums of the items assigned to that particular subscale; (b) computing the item-rest correlations within each subscale (i.e. the correlation between an item and the sum of all items belonging to the subscale, except for the considered item). If an item correlated strongest with the subscale to which it was assigned a priori, that item fitted well with that scale. If not, this item was removed from the long SMI version to develop the short SMI. It was aimed that each subscale of the short SMI would consist of a maximum of 10 items. The 10 items were chosen that correlated the strongest with their a priori hypothesized scale and that loaded higher on their hypothesized scales than on their non-hypothesized scales.

Reliability and validity of the short SMI. First, CFA was performed for three models of the short SMI: the 14-, 8- and 4-factor models (for a more detailed explanation see the statistical analyses section of the long SMI). Second, internal consistency of the SMI subscales was assessed by calculating Cronbach's α : following Nunnally and Bernstein (1994), Alpha coefficients higher than .70 were considered acceptable. Third, inter-correlations between the subscales of the short SMI were calculated with correlations corrected for attenuation due to imperfect internal reliability values of the subscales. These attenuated correlations give an estimate of the correlations between subscales if reliabilities would be perfect, thus a better estimate of the true correlations than raw correlations. Fourth, test-retest reliability over a 4-week period was calculated in a healthy sample using the intra-class correlation coefficient (ICC) and 95% confidence intervals. Finally, ANOVA trend analyses were used to test the hypothesis that scores on the subscales of the short SMI would monotonically increase from non-patient controls, to Axis I patients, to Axis II patients. Linear and quadratic effects were evaluated. In addition, linear regression analyses were performed for each mode (defined as the dependent variable) with the number of Axis I disorders and the strength of Axis II disorders (calculated by adding all scores of all PD criteria) as predictors. This way, it could be assessed whether the modes were predicted by the number of Axis I disorders and/or the severity of Axis II disorders. Furthermore, it was evaluated whether the number of Axis I disorders had an additional value over and above the severity of Axis II disorders in explaining the scores on the short SMI modes by calculating R^2 Change values by means of stepwise linear regression with Axis I disorders as predictors in step 1 and Axis II severity as predictors in step 2. These stepwise linear regression analyses were also performed with the severity of Axis II disorders as the predictor in step 1, and the number of Axis I disorders as the predictor in step 2, to assess the incremental value of Axis I pathology above Axis II pathology in explaining mode scores. Concurrent validity was assessed by means of correlations corrected for attenuation between the short SMI subscales and the construct questionnaires. Pearson values of .70 or more were considered to be indicative of good convergent validity, while values of .30 or lower reflect good divergent validity.

Results

Construction of the short SMI

Table 1 provides the goodness-of-fit indices for the three models of the long SMI. The CFI and NNFI values of all models were above .95. SRMR values were too high for all models, while the RMSEA was below .08 for the most differentiated and the semi-parsimonious models. The differences between the chi-squares of the three models were significant ($p < .001$ for all models), indicating that the 16-factor model provided a better fit than the 8- and 4-factor solutions for the long SMI, also indicated by CFI, NNFI, and RMSEA.

MGM analyses were used to select the items best presenting the 16 different modes. Ten items were selected for seven SMI subscales that loaded uniquely on their a priori hypothesized scale; Angry Child, Enraged Child, Happy Child, Self-Aggrandizer, Punishing Parent, Demanding Parent and Healthy Adult mode. For seven other subscales, only 4 to 9 items appeared to load uniquely on their subscales; Impulsive Child (9 items), Undisciplined Child (6 items), Compliant Surrender (7 items), Detached Protector (9 items), Detached Self-Soother (4 items), Bully and Attack (9 items) and Demanding Parent (9 items). For the subscales of the

Table 1. Goodness-of-fit indices of the long (270 items) and short (118 items) SMI ($N = 863$)

Model	Number factors	CFI	NNFI	SRMR	RMSEA	χ^2 (df)
Long SMI						
Most differentiated	16	.981	.980	.083	.062	92450.36 (34859)*
Semi-parsimonious	8	.979	.979	.088	.069	97815.61 (34951)
Parsimonious	4	.974	.974	.080	.095	111254.84 (34973)
Short SMI						
Most differentiated	14	.980	.980	.066	.053	18374.70 (6694)*
Semi-parsimonious	8	.973	.972	.076	.070	22782.87 (6757)
Parsimonious	4	.959	.958	.085	.109	30800.36 (6779)

Note: CFI = Comparative Fit Index; NNFI = Non-Normed Fit Index; SRMR = Standardized Root Mean Square Residual; RMSEA = Root Mean Square Error of Approximation; χ^2 = chi-square; *df* = degrees of freedom; * this model is significantly better than the other models at the $p < .001$ level. In all 6 cases, the more differentiated models were significantly better (p 's $< .001$) than the less differentiated models.

Abandoned and Abused Child and for the Over Controller, not enough items could be selected that loaded uniquely on these scales. Because of high theoretical resemblance between the scales of the Lonely Child and that of the Abandoned and Abused Child, these two scales were clustered together, constituting the Vulnerable Child mode, which parallels Young's 10 mode division (Young et al., 2003). Ten items were selected that represented this Vulnerable Child mode in a unique way. The Over Controller subscale was left out of the short SMI version and subsequent analyses. In conclusion, the short version of the SMI consisted of 14 subscales, and the number of items ranged between 4 and 10, with a mean of 8.4 items per scale, with a total of 124 items.

Factor structure of the short SMI

The first factor analyses revealed inadequate item loadings for 6 items; one item of the Undisciplined Child, one of the Enraged Child, one of the Impulsive Child, and three of the Demanding Parent mode. Subsequently, these items were removed from the questionnaire, leading to $N = 118$ items. Table 1 provides the goodness-of-fit indices for three models of the short SMI. CFI and NNFI values of all models were above .95. The SRMR was only below .08 and the RMSEA below .07 for the most differentiated model, which indicates an adequate fit for this model. The differences between the chi-square values of the three models were significant ($p < .001$ for all models), indicating that the 14-factor model provided a better fit than the 8- and 4-factor solutions, also apparent from the four fit indices.

Internal consistency, item loadings and correlations between subscales of the short SMI

The internal consistencies of the subscales of the short SMI (see Table 2) were all acceptable (ranging from $\alpha = .79$ to $\alpha = .96$), as was their mean ($\alpha = .87$). Item loadings were adequate (item loadings above .40). Mean item loadings per subscale of the 14-factor solution varied between .53 and .85, with a mean of .68 (see Tables 2 and 3).

Table 2. Internal reliability of the short SMI subscales ($N = 863$)

Short SMI subscales	Number of items	Mean inter-item		Mean item loading
		correlation	Cronbach's α	
Vulnerable Child	10	.71	.96	.85
Angry Child	10	.44	.89	.66
Enraged Child	9	.55	.92	.79
Impulsive Child	8	.49	.89	.69
Undisciplined Child	5	.43	.79	.65
Happy Child	10	.52	.92	.72
Compliant Surrender	7	.39	.82	.53
Detached Protector	9	.54	.91	.73
Detached Self-Soother	4	.46	.80	.70
Self-Aggrandizer	10	.33	.83	.58
Bully and Attack	9	.34	.81	.59
Punitive Parent	10	.51	.91	.72
Demanding Parent	7	.44	.85	.66
Healthy Adult	10	.37	.85	.61
Mean	8.4	.47	.87	.68

The factor inter-correlations corrected for attenuation between the short SMI subscales are presented in Table 4. All maladaptive modes correlated positively with each other, as did the two adaptive modes. Adaptive modes correlated negatively with all maladaptive modes. Mean intercorrelation of all positive values was .59, and mean intercorrelation of all negative values was $-.54$. The mean correlation between all maladaptive child modes was .64, the mean correlation between the coping modes was .54, and the maladaptive parent modes correlated .66 with each other. The healthy modes correlated .85 with each other. Despite some high correlations, none of the confidence intervals ($\pm 2 * SE$; Anderson and Gerbing, 1988) around the correlation estimates between two subscales included 1.0, suggesting that the SMI subscales do represent distinct constructs.

Test-retest

Fifty out of 319 healthy controls (16%) who filled out the short SMI at baseline, were re-administered the short SMI again 4 weeks later (retest), with a maximum deviation of 3 days. This subsample of 50 non-patients was composed out of 41 students and 9 respondents from the open population, 12 men and 38 women, with a mean age of 26.44 ($SD = 11.19$, range 18–57), and of which 33 were single and 17 married or lived together. This subsample was significantly younger, $t = 3.56$, $df = 317$, $p < .001$, higher educated, $t = 2.55$, $df = 317$, $p = .01$, and more frequently single, $\chi^2 = 51.82$, $p < .001$, $df = 1$, compared to other non-patients. These differences are inherent to the fact that the retest subsample consisted mainly of students (82%), while other non-patients were mainly respondents from the open population (54.4%). However, there were no significant differences on the scores on the subscales of the short SMI between both groups, using Bonferonni's correction for multiple testing ($p = .05/16 = .003$), range $F(1,226) = 1.47$, $p = .23$ to $F(1,226) = 0$, $p = .99$. This indicated that despite both groups not being comparable in biographic characteristics, they were in mode scores.

Table 3. Item loadings of the SMI for the 14-factor model ($N = 863$)

SMI item	Scale ¹	Item loading
1. I demand respect by not letting other people push me around.	BA	.45
2. I feel loved and accepted.	HC	.74
3. I deny myself pleasure because I don't deserve it.	PP	.76
4. I feel fundamentally inadequate, flawed, or defective.	VC	.85
5. I have impulses to punish myself by hurting myself (e.g. cutting myself).	PP	.58
6. I feel lost.	VC	.88
7. I'm hard on myself.	DP	.67
8. I try very hard to please other people in order to avoid conflict, confrontation, or rejection.	CS	.71
9. I can't forgive myself.	PP	.69
10. I do things to make myself the centre of attention.	SA	.52
11. I get irritated when people don't do what I ask them to do.	SA	.66
12. I have trouble controlling my impulses.	IC	.77
13. If I can't reach a goal, I become easily frustrated and give up.	UC	.76
14. I have rage outbursts.	EC	.80
15. I act impulsively or express emotions that get me into trouble or hurt other people.	IC	.76
16. It's my fault when something bad happens.	PP	.74
17. I feel content and at ease.	HC	.84
18. I change myself depending on the people I'm with, so they'll like me or approve of me.	CS	.68
19. I feel connected to other people.	HC	.63
20. When there are problems, I try hard to solve them myself.	HA	.49
21. I don't discipline myself to complete routine or boring tasks.	UC	.40
22. If I don't fight, I will be abused or ignored.	AC	.62
23. If you let other people mock or bully you, you're a loser.	BA	.50
24. I physically attack people when I'm angry at them.	EC	.66
25. Once I start to feel angry, I often don't control it and lose my temper.	EC	.82
26. It's important for me to be Number One (e.g. the most popular, most successful, most wealthy, most powerful).	SA	.67
27. I feel indifferent about most things.	DPT	.65
28. I can solve problems rationally without letting my emotions overwhelm me.	HA	.55
29. I won't settle for second best.	SA	.48
30. Attacking is the best defense.	BA	.54
31. I feel cold and heartless toward other people.	DPT	.71
32. I feel detached (no contact with myself, my emotions or other people).	DPT	.83
33. I blindly follow my emotions.	IC	.51
34. I feel desperate.	VC	.86
35. I allow other people to criticize me or put me down.	CS	.50
36. In relationships, I let the other person have the upper hand.	CS	.60
37. I feel distant from other people.	DPT	.77
38. I don't think about what I say, and it gets me into trouble or hurts other people.	IC	.74
39. I work or play sports intensively so that I don't have to think about upsetting things.	DSS	.59
40. I'm angry that people are trying to take away my freedom or independence.	AC	.60
41. I feel nothing.	DPT	.74

Table 3. Continued.

SMI item	Scale ¹	Item loading
42. I do what I want to do, regardless of other people's needs and feelings.	SA	.41
43. I don't let myself relax or have fun until I've finished everything I'm supposed to do.	DP	.61
44. I throw things around when I'm angry.	EC	.71
45. I feel enraged toward other people.	AC	.76
46. I feel that I fit in with other people.	HC	.66
47. I have a lot of anger built up inside of me that I need to let out.	AC	.79
48. I feel lonely.	VC	.86
49. I like doing something exciting or soothing to avoid my feelings (e.g. working, gambling, eating, shopping, sexual activities, watching TV).	DSS	.63
50. Equality doesn't exist, so it's better to be superior to other people.	BA	.65
51. When I'm angry, I often lose control and threaten other people.	EC	.81
52. I let other people get their own way instead of expressing my own needs.	CS	.71
53. If someone is not with me, he or she is against me.	AC	.67
54. In order to be bothered less by my annoying thoughts or feelings, I make sure that I'm always busy.	DSS	.72
55. I'm a bad person if I get angry at other people.	PP	.68
56. I don't want to get involved with people.	DPT	.67
57. I feel that I have plenty of stability and security in my life.	HC	.81
58. I know when to express my emotions and when not to.	HA	.59
59. I'm angry with someone for leaving me alone or abandoning me.	AC	.66
60. I don't feel connected to other people.	DPT	.73
61. I can't bring myself to do things that I find unpleasant, even if I know it's for my own good.	UC	.70
62. I break rules and regret it later.	IC	.55
63. I feel humiliated.	VC	.80
64. I trust most other people.	HC	.62
65. I act first and think later.	IC	.68
66. I get bored easily and lose interest in things.	UC	.72
67. Even if there are people around me, I feel lonely.	VC	.85
68. I don't allow myself to do pleasurable things that other people do because I'm bad.	PP	.79
69. I assert what I need without going overboard.	HA	.73
70. I feel special and better than most other people.	SA	.55
71. I don't care about anything; nothing matters to me.	DPT	.66
72. It makes me angry when someone tells me how I should feel or behave.	AC	.46
73. If you don't dominate other people, they will dominate you.	BA	.67
74. I say what I feel, or do things impulsively, without thinking of the consequences.	IC	.78
75. I feel like telling people off for the way they have treated me.	AC	.62
76. I'm capable of taking care of myself.	HA	.57
77. I'm quite critical of other people.	SA	.55
78. I'm under constant pressure to achieve and get things done.	DP	.72
79. I'm trying not to make mistakes; otherwise, I'll get down on myself.	DP	.77
80. I deserve to be punished.	PP	.77
81. I can learn, grow, and change.	HA	.60

Table 3. Continued.

SMI item	Scale ¹	Item loading
82. I want to distract myself from upsetting thoughts and feelings.	DSS	.78
83. I'm angry at myself.	PP	.81
84. I feel flat.	DPT	.80
85. I have to be the best in whatever I do.	SA	.68
86. I sacrifice pleasure, health, or happiness to meet my own standards.	DP	.72
87. I'm demanding of other people.	SA	.71
88. If I get angry, I can get so out of control that I injure other people.	EC	.64
89. I am invulnerable.	BA	.50
90. I'm a bad person.	PP	.78
91. I feel safe.	HC	.79
92. I feel listened to, understood, and validated.	HC	.78
93. It is impossible for me to control my impulses.	IC	.74
94. I destroy things when I'm angry.	EC	.78
95. By dominating other people, nothing can happen to you.	BA	.76
96. I act in a passive way, even when I don't like the way things are.	CS	.66
97. My anger gets out of control.	EC	.83
98. I mock or bully other people.	BA	.58
99. I feel like lashing out or hurting someone for what he/she did to me.	AC	.70
100. I know that there is a "right" and a "wrong" way to do things; I try hard to do things the right way, or else I start criticizing myself.	DP	.54
101. I often feel alone in the world.	VC	.88
102. I feel weak and helpless.	VC	.86
103. I'm lazy.	UC	.66
104. I can put up with anything from people who are important to me.	CS	.43
105. I've been cheated or treated unfairly.	AC	.72
106. I feel left out or excluded.	VC	.82
107. I belittle others	BA	.63
108. I feel optimistic.	HC	.76
109. I feel I shouldn't have to follow the same rules that other people do	SA	.52
110. I'm pushing myself to be more responsible than most other people.	DP	.58
111. I can stand up for myself when I feel unfairly criticized, abused, or taken advantage of.	HA	.63
112. I don't deserve sympathy when something bad happens to me.	PP	.54
113. I feel that nobody loves me.	VC	.78
114. I feel that I'm basically a good person.	HA	.56
115. When necessary, I complete boring and routine tasks in order to accomplish things I value.	HA	.50
116. I feel spontaneous and playful.	HC	.46
117. I can become so angry that I feel capable of killing someone.	EC	.64
118. I have a good sense of who I am and what I need to make myself happy.	HA	.78

Note. VC = Vulnerable Child; AbC = Abandoned and Abused Child; AC = Angry Child; EC = Enraged Child; IC = Impulsive Child; UC = Undisciplined Child; HC = Happy Child; CS = Compliant Surrender; DPt = Detached Protector; DSS = Detached Self-soother; SA = Self-Aggrandizer; BA = Bully and Attack; PP = Punitive Parent; DP = Demanding Parent; HA = Healthy Adult.

Table 4. Factor inter-correlations between the short SMI subscales, corrected for attenuation ($N = 863$)

	VC	AC	EC	IC	UC	HC	CS	DPt	DSS	SA	BA	PP	DP
VC													
AC	.78												
EC	.52	.75											
IC	.56	.69	.74										
UC	.62	.56	.47	.69									
HC	-.89	-.74	-.55	-.50	-.53								
CS	.70	.52	.22	.35	.55	-.57							
DPt	.88	.77	.57	.60	.67	-.85	.70						
DSS	.79	.77	.54	.59	.49	-.68	.66	.74					
SA	.34	.50	.46	.49	.46	-.25	.29	.42	.45				
BA	.37	.67	.67	.59	.50	-.39	.29	.55	.49	.77			
PP	.86	.74	.58	.62	.58	-.80	.69	.81	.75	.33	.43		
DP	.67	.62	.39	.38	.33	-.54	.67	.65	.80	.64	.46	.66	
HA	-.72	-.52	-.42	-.47	-.57	.85	-.55	-.69	-.53	-.11	-.27	-.74	-.31

Note. VC = Vulnerable Child; AbC = Abandoned and Abused Child; AC = Angry Child; EC = Enraged Child; IC = Impulsive Child; UC = Undisciplined Child; HC = Happy Child; CS = Compliant Surrender; DPt = Detached Protector; DSS = Detached Self-soother; SA = Self-Aggrandizer; BA = Bully and Attack; PP = Punitive Parent; DP = Demanding Parent; HA = Healthy Adult.

Table 5. Mean and standard deviations of baseline and retest measurement and test-retest reliability of the short SMI ($N = 50$)

Short SMI subscales	Baseline		Retest		t ($df = 49$)	p	ICC (95% CI)
	Mean (SD)						
Lonely Child	1.40 (.40)	1.40 (.39)	1.40 (.39)	1.40 (.39)	-.17	.87	.89 (.81-.94)
Angry Child	1.72 (.38)	1.64 (.36)	1.64 (.36)	1.64 (.36)	1.5	.14	.65 (.39-.80)
Enraged Child	1.15 (.27)	1.13 (.23)	1.13 (.23)	1.13 (.23)	.55	.59	.85 (.74-.92)
Impulsive Child	2.19 (.55)	2.10 (.46)	2.10 (.46)	2.10 (.46)	1.53	.13	.78 (.61-.87)
Undisciplined Child	2.34 (.52)	2.32 (.51)	2.32 (.51)	2.32 (.51)	.43	.67	.89 (.81-.94)
Happy Child	4.56 (.43)	4.64 (.47)	4.64 (.47)	4.64 (.47)	-1.57	.12	.80 (.66-.89)
Compliant Surrender	2.48 (.61)	2.42 (.56)	2.42 (.56)	2.42 (.56)	.97	.34	.80 (.64-.88)
Detached Protector	1.45 (.42)	1.47 (.43)	1.47 (.43)	1.47 (.43)	-.48	.63	.92 (.87-.96)
Detached Self-soother	1.90 (.64)	1.85 (.69)	1.85 (.69)	1.85 (.69)	.75	.46	.87 (.77-.93)
Self-aggrandizer	2.26 (.53)	2.32 (.53)	2.32 (.53)	2.32 (.53)	-1.29	.20	.89 (.81-.94)
Bully and Attack	1.64 (.46)	1.40 (.33)	1.40 (.33)	1.40 (.33)	-.64	.52	.86 (.75-.92)
Punishing Parent	1.41 (.32)	2.96 (.59)	2.96 (.59)	2.96 (.59)	.29	.77	.75 (.56-.86)
Demanding Parent	3.01 (.54)	4.68 (.55)	4.68 (.55)	4.68 (.55)	1.11	.27	.91 (.84-.95)
Healthy Adult	4.68 (.58)	1.67 (.44)	1.67 (.44)	1.67 (.44)	-.05	.96	.92 (.86-.95)

Note. * $p < .001$

Table 5 displays means and standard deviations for the baseline and retest measures of all schema modes, along with the paired sample t -tests, ICC values and 95% confidence intervals. Differences in baseline and retest scores were not significant for any of the modes at a $p < .001$

level. Test-retest reliability of the separate modes ranged from .65 to .92, p 's < .001, with a mean of .84. These results indicate adequate test-retest reliabilities for all schema modes of the short SMI.

Scores in the subgroups

Mean scores and standard deviations on all modes are presented in Table 6 for non-patient controls, Axis I and Axis II patients, along with the results of the trend analyses. All linear trends were significant (p 's < .001) indicating that the scores of all maladaptive modes increased monotonically over these three groups. The scores on the adaptive modes decreased monotonically over the three groups (p 's < .001). In addition, there was a negative quadratic trend for the Angry Child and the Detached Self-Soother, and a positive quadratic trend for the Happy Child mode. This indicated that in these mode scores, there was a large difference between the non-patients and Axis I patients, and a small difference between Axis I and Axis II groups.

The regression weights of Axis I and Axis II pathology on the prediction of the mode scores are presented in Table 7. Regression weights of the Axis I disorders varied from .06 to .36 (weights of the adaptive modes reversed in sign) with a mean of .19. Changes in explained variance due to Axis I pathology above Axis II pathology varied between .3 and 10.4%, with a mean of 3.5%. The number of Axis I disorders predicted 10 out of 14 modes (all but the Enraged, Impulsive and Undisciplined Child, and the Bully and Attack modes) above the severity of Axis II disorders. Regression weights of Axis II severity varied from .13 to .41 (weights of the adaptive modes reversed in sign) with a mean of .31. Changes in explained variance due to Axis II pathology above Axis I pathology varied between 1.3 and 13.6%, with a mean of 8.1%. In both analyses, a reversed effect was found for the Happy Child and Healthy Adult modes, indicating that the more severe the PD and Axis I pathology, the less strong these adaptive modes. These results indicate that although both Axis I and Axis II contributed to the explained variance of most of the modes independently of each other, the effect of Axis II pathology on the explained variance of the modes was stronger.

Construct validity

Table 8 depicts the Pearson correlations of the predicted and unpredicted associations of the short SMI and the theoretically linked questionnaires. Although all of the expected correlations appeared to be significant (with the exception of the correlation between the short SMI Undisciplined Child and TCI Inpersistence scale), eight predicted associations exceeded a Pearson value of .70. More specifically, good convergent validity was shown between the Vulnerable Child, Enraged Child, Happy Child, and the Demanding Parent modes and their a-priori predicted associations. In addition, although two other predicted strong associations between the Compliant Surrender and Healthy Adult and the other questionnaires were (slightly) lower than .70, these associations were higher than the non-predicted associations of those modes. The adaptive modes of the Happy Child and Healthy Adult correlated highly with the positive TCI scales. Contrary to our expectations, the trait anger of the STAS correlated higher with the modes of the Impulsive Child, the Undisciplined Child, the Detached Self-Soother and the Punishing Parent modes than with their a-priori hypothesized mode scales. Likewise, the Detached Self-Soother and Punishing Parent modes revealed a higher

Table 6. Means, standard deviations and trend analyses of the short SMI subscales in three subsamples ($N = 691$)

Short SMI subscales	Non-patient controls		Axis I patients			Axis II patient			Linear trend		Quadratic trend	
	<i>m</i>	<i>SD</i>	<i>m</i>	<i>SD</i>	δ^1	<i>m</i>	<i>SD</i>	δ^2	<i>t</i>	<i>p</i>	<i>t</i>	<i>p</i>
Vulnerable Child	1.47	.51	2.66	.94	1.64	3.36	1.11	2.27	19.60	<.001**	-1.51	.13
Angry Child	1.81	.48	2.56	.90	1.08	3.09	.94	1.76	26.20	<.001**	-3.06	.002*
Enraged Child	1.20	.29	1.55	.67	.74	2.05	.92	1.33	15.49	<.001**	1.17	.25
Impulsive Child	2.15	.53	2.46	.72	.48	3.05	.97	1.19	14.13	<.001**	1.95	.06
Undisciplined Child	2.27	.60	2.57	.85	.41	2.95	.94	.89	10.34	<.001**	.55	.58
Happy Child	4.52	.54	3.39	.87	-1.58	2.88	.77	-2.50	-27.55	<.001**	4.63	<.001**
Compliant Surrender	2.51	.56	3.00	.88	.67	3.32	.95	1.08	12.32	<.001**	-1.10	.27
Detached Protector	1.59	.52	2.35	.94	1.04	2.95	.94	1.85	20.40	<.001**	-1.13	.26
Detached Self-Soother	1.93	.65	3.00	.91	1.33	3.32	.98	1.71	19.68	<.001**	-4.71	<.001**
Self-Aggrandizer	2.31	.59	2.47	.76	.23	3.63	.87	.44	5.16	<.001**	-.01	.99
Bully and Attack	1.72	.51	1.91	.68	.32	2.21	.77	.77	8.85	<.001**	.78	.44
Punitive Parent	1.47	.39	2.16	.90	1.08	2.75	.97	1.81	20.09	<.001**	-.81	.42
Demanding Parent	3.06	.60	3.50	.85	.60	3.71	.90	.87	9.83	<.001**	-1.62	.11
Healthy Adult	4.60	.56	3.99	.80	-.86	3.60	.83	-1.44	-16.49	<.001**	1.52	.13

Note. δ^1 = Cohen's δ Axis I patients versus NpCs; δ^2 = Cohen's δ Axis II patients versus NpCs; *significant at $p < .05$; **significant at $p < .001$.

Table 7. Regression analyses of the number of Axis I disorders and the severity of Axis II disorders on the modes ($N = 691$)

Short SMI subscales	β Axis I	β Axis II	R^2 change Axis I above Axis II		R^2 change Axis II above Axis I	
			R^2_{change} (%)	p	R^2_{change} (%)	p
Vulnerable Child	.34	.30	9.2	<.001**	7.2	<.001**
Angry Child	.21	.38	3.4	<.001**	11.5	<.001**
Enraged Child	.06	.41	.30	.24	13.6	<.001**
Impulsive Child	.06	.38	.30	.19	11.5	<.001**
Undisciplined Child	.07	.29	.40	.16	6.9	<.001**
Happy Child	-.36	-.33	10.4	<.001**	8.7	<.001**
Compliant Surrender	.18	.17	2.5	.001*	2.4	.001*
Detached Protector	.22	.37	3.8	<.001**	10.7	<.001**
Detached Self-Soother	.23	.29	4.0	<.001**	6.6	<.001**
Self-Aggrandizer	-.18	.33	2.5	<.001**	8.6	<.001**
Bully and Attack	-.09	.39	.60	.07	12.2	<.001**
Punitive Parent	.25	.30	5.1	<.001**	7.2	<.001**
Demanding Parent	.11	.13	1.0	.03*	1.3	.02*
Healthy Adult	-.27	-.24	5.9	<.001**	4.7	<.001**

Note. *significant at $p < .05$ **significant at $p < .001$.

Pearson correlation with the Loneliness Scale, compared to the hypothesized correlations. The non-expected correlations were mostly lower than the expected ones and 135 of these 259 correlations were lower than .30. Thus approximately 52% of the correlations displayed good discriminant validity. In sum, eight of the 21 a-priori predictions of convergent validity were adequately reproduced by the data, while 2 other associations, although not meeting the .70 criterion, pointed in the good direction, providing empirical support for concurrent validity of half of the subscales of the short SMI. In addition, the short SMI displayed moderate discriminant validity.

Discussion

We tested the psychometric properties of a new questionnaire for assessing schema modes; the Schema Mode Inventory (SMI). In order to improve the discrimination between the SMI subscales and to increase feasibility for both research and clinical purposes, a short version of the SMI was developed consisting of 118 items. Results showed an adequate fit for the 14-factor model of this short SMI, acceptable internal reliability of its subscales, moderate to high intercorrelations between the subscales, and reasonable construct validity.

The short SMI proved to be best underlined by a 14-factor model. This indicates that it is preferable to distinguish the modes in separate subscales. Despite the fact that the fit indices of the more parsimonious were only slightly poorer than those of the 14-factor model, there are two reasons why the 14-factor model is preferable. First, although not unusual for large samples, the comparative statistical chi-square tests revealed that the 14-factor model was better than the alternative factor models. In addition, the fact that factors correlate highly does not necessary imply that these factors are the same, which was also exemplified by

Table 8. Correlations corrected for attenuation between short SMI subscales and theoretically linked questionnaires ($N = 348$)

	VC	AC	EC	IC	UC	HC	CS	DPT	DSS	SA	BA	PP	DP	HA
TCI														
Self-acceptance	-.30	-.37	-.29	-.32	-.33	.27	-.27	-.27	-.32	-.43	-.35	-.32	-.27	.20
Impulsiveness	.17	.28	.44	.59	.39	-.13	-.01	.24	.14	.18	.32	.23	-.08	-.22
Persistence	.36	.35	.26	.20	-.16	-.32	.27	.05	.45	.42	.15	.40	.78	.05
Pure-hearted conscience	.01	-.15	-.12	-.15	-.17	.12	.05	-.05	-.06	-.10	-.27	-.02	.17	.17
Uninhibited optimism	-.80	-.64	-.43	-.50	-.55	.73	-.59	-.62	-.58	-.26	-.31	.70	-.49	.57
Revengefulness	.18	.43	.39	.29	.24	-.27	-.04	.26	.15	.34	.51	.22	.04	-.16
Inpersistence	.26	.42	.26	.31	.09	-.25	.40	.37	.46	.62	.36	.45	.78	-.03
Purposeless	.44	.31	.24	.32	.44	-.41	.45	.52	.23	.15	.24	.40	.17	-.42
Slowness	.42	.28	.18	.29	.47	-.36	.53	.39	.25	.13	.10	.35	.18	-.48
Detachment	.34	.36	.29	.18	.26	-.47	.37	.52	.28	.20	.34	.38	.23	-.27
Regimentation	-.16	-.32	-.46	-.56	-.65	.19	-.07	-.30	-.20	-.54	-.64	-.13	.18	.20
Dependence	.17	.03	.05	.00	.03	-.11	.12	.29	.09	-.16	-.11	.09	.03	-.14
Independence	-.16	-.01	-.05	-.01	-.04	.08	-.12	-.06	-.09	.15	-.11	-.08	.01	.10
IBI rigid moral	.29	.48	.31	.40	.27	-.19	.26	.32	.38	.35	.44	.39	.43	-.05
STAS trait anger	.62	.74	.83	.74	.59	-.57	.34	.58	.62	.56	.63	.62	.37	-.43
PDBQ narcissism	.14	.31	.21	.28	.25	-.15	.10	.26	.36	.56	.54	.23	.14	-.10
LS	.71	.65	.47	.44	.36	-.76	.39	.68	.58	.26	.45	.60	.32	-.51
RSQ fearful attachment	.77	.70	.45	.49	.50	-.75	.52	.79	.71	.44	.53	.69	.52	-.44
UCL palliative coping	.29	.25	.20	.38	.34	-.05	.27	.20	.55	.22	.16	.26	.15	-.08
CTQ Total abuse	.79	.85	.53	.57	.40	-.72	.42	.70	.74	.15	.39	.73	.34	-.55

Note. TCI = Temperament and Character Inventory; IBI = Irrational Belief Inventory; STAS = State-Trait Anger Scale; PDBQ = Personality Disorder Questionnaire; LS = Loneliness Scale; RSQ = Relationship Scales Questionnaire; UCL = Utrecht Coping List; CTQ = Childhood Trauma Questionnaire; VC = Vulnerable Child; AC = Angry Child; EC = Enraged Child; IC = Impulsive Child; UC = Undisciplined Child; HC = Happy Child; CS = Compliant Surrender; DPT = Detached Protector; DSS = Detached Self-soother; SA = Self-Aggrandiser; BA = Bully and Attack; PP = Punitive Parent; DP = Demanding Parent; HA = Healthy Adult. Bold figures are significant at $p < .05$; underlined figures reflect predicted associations.

different correlations obtained with the questionnaires of the construct validity assessment. Second, there are strong content-related reasons to opt for a 14-factor model of the short SMI; therapists confirm the theoretical assumption that there are subtle, but very important differences between the different modes. The Detached Protector and Detached Self-Soother scales for instance are highly correlated. Both refer to emotional withdrawal but the behaviour associated with these two modes is very different; for the Detached Protector mode, robotic-like behaviour and feelings of emptiness are central, while the Detached Self-Soother mode is characterized by active self-soothing behaviour such as overeating. In addition, choosing for example a 4-factor solution would seriously undermine the descriptive ability of the SMI for PD pathology. Saying, for example, that a particular borderline PD patient has a strong maladaptive child mode (one of the four factors), is not informative since the different maladaptive child modes differ highly in nature (e.g. aggressive feelings in the Angry Child mode and feelings of loneliness in the Vulnerable Child mode), and are associated with different developmental features (e.g. the Vulnerable Child is specifically related to childhood abandonment and abuse). Not only are these modes theoretically associated with different PDs, a recent study of our group demonstrated that the Detached Self-Soother and not the Detached Protector mode was associated with obsessive-compulsive PD (Lobbestael et al., 2008). These different pathology correlates between highly associated modes underline the unique contribution of the modes, pleading for a more fine-grained 14-factor model.

Test-retest reliabilities were adequate. Although at first sight this result indicates that mode scores are highly stable over time, some considerations need to be taken into account. First, the retest population consisted mainly of students; it cannot be predicted whether the remaining healthy population would demonstrate equally high stability in mode assessment over time. Second, it could be argued that mode scores in patients with severe PDs will be far more unstable due to their characteristic dysregulation and unstable affects (Clark, Livesly and Morey, 1997; Clark and Harrison, 2000). Future studies should investigate the temporal stability of modes in a non-student population and in PD-samples in order to further investigate the theoretical claim of mode instability in PD patients.

Results confirmed that the presence of all dysfunctional modes increased significantly from non-patient controls to Axis I patients to Axis II patients and decreased in a similar way for functional modes. Furthermore, the strength of PDs predicted the presence of all modes over Axis I pathology, while the number of Axis I disorders predicted 13 out of 16 modes above Axis II pathology. In addition, Axis II pathology explained a higher percentage of the variance than Axis I pathology. These data underscore the assumption that schema modes are mainly correlated to PDs.

Although many of the results on the construct validity of the short SMI pointed in the good direction, only half of the associations reached levels we had a priori set with respect to convergent validity. Half of the non-predicted associations demonstrated good discriminant validity. Possibly this moderate construct validity can be ascribed to the fact that modes reflect a combination of several features. For instance, no single emotion is represented in one mode, but rather a combination of emotions, beliefs and behaviours. In contrast, most questionnaires used to assess construct validity represent quite isolated emotions, thoughts or behaviours.

In selecting items for the subscales of the short SMI that loaded uniquely on their hypothesized subscales, insufficient items were found for the Abandoned and Abused Child and for the Over Controller modes. The reason for this might be that the items for these two

scales were formulated too broadly and therefore lacked specificity. Another reason might be that, although their developmental origins may differ, it is not possible to distinguish between these variants of the Vulnerable Child mode based on self-report. This issue awaits further tests. We also suggest that the Over Controller subscale should be split into two subscales: the Perfectionistic Over Controller, which would be mainly displayed by patients with an obsessive compulsive PD, and the Suspicious Over Controller that would characterize patients with a paranoid PD. The present SMI did not have enough items specific to these hypothesized constructs to test their existence.

Despite the current study being conducted with a large sample ($N = 863$), it remains arguable whether this is sufficient for the CFA analyses, due to the large number of items in the SMI ($N = 270$ for the long SMI and $N = 118$ for the short SMI). Rules of thumb with respect to the subject to item ratio differ widely (see e.g. Bentler, 1989; Boomsma, 1982; Nunnally, 1967). Consequently, strict rules regarding sample size have mostly disappeared and been replaced by the view that adequate sample size is partly determined by the nature of the data (see e.g. MacCallum, Zhang, Hong and Widaman, 1999). The fact that we obtained fit sizes of this magnitude in the present sample does not elicit reasons to doubt the adequacy of the sample size of this study.

Clearly, this study is only a small contribution to the validation of constructs used in SFT. A lot of work still needs to be done. With respect to the short SMI, an independent replication and assessment of test-retest reliability in patient samples is important. Because mode conceptualizations of PDs are still in progress, several additional modes have been proposed. For example, Bernstein, Arntz and de Vos (2007) hypothesized that psychopaths are characterized by a Predator mode and a Conning and Manipulative mode. These mode scales should be operationalized and added to the current short SMI. Clearly, it should be critically assessed whether further differentiation of modes is desirable, and to which degree it is still statistically advisable to further add schema modes. Efforts should be made to assess mode-related behaviour, emotion and information processing by means of naturalistic, experimental or observational studies. With regard to mode switching, it would be of special interest to study the effect of mode presence in reaction to mood inductions, as was done by three previous studies by our group (Arntz et al., 2005; Lobbestael and Arntz, 2010; Lobbestael, Arntz, Cima and Chakhssi, 2009).

Despite SFT research being still in its infancy, this study provides a broad range of psychometric data of the short SMI, and forms a first step in the foundation of a central SFT construct. The psychometric results indicate that the short SMI is a valuable measure that can be of use for mode assessment in SFT.

Acknowledgements

Thanks are due to Annette Lobbes, Christine van Giesen, Minda Dijkstra, Sarah Holla, Tamara Schrijvers and Yvette Heanen for their help in collecting the data. We are grateful for the collaboration of the direction board, staff and patients of the Correctional Institutes “Ter Peel” in Evertsoord and “de Geerhorst” in Sittard; the “Rooyse Wissel” in Venray and Maastricht; the “RIAGG” Maastricht; GGZ Midden Brabant and Midden Limburg; Mutsaersoord, Venray; the Symfona group, Amersfoort; the “Pompekliniek” in Nijmegen; “Lianarons” in Heerlen; GGZ Delfland in Delft and the “Viersprong” in Halsteren – all in the Netherlands – and

the Correctional Institute of Brugge and “Medisch Centrum Sint-Jozef” in Bilzen, both in Belgium.

References

- Anderson, J. C. and Gerbing, D. W.** (1988). Structural equation modeling in practice: a review and recommended two-step approach. *Psychological Bulletin*, 3, 411–423.
- Arntz, A. and Bögels, S.** (2000). *Schemagerichte cognitieve therapie voor persoonlijkheidsstoornissen. [Schema-Focused Cognitive Therapy for Personality Disorders]*. Houten: Bohn Stafleu van Loghum.
- Arntz, A., Klokman, J. and Sieswerda, S.** (2005). An experimental test of the schema mode model of borderline personality disorder. *Journal of Behavior Therapy and Experimental Psychiatry*, 36, 226–239.
- Bentler, P. M.** (1989). *EQS: Structural Equations Program Manual*. Los Angeles: BMDP Statistical Software.
- Bernstein, D. P., Arntz, A. and de Vos.** (2007). Schema Focused Therapy in forensic settings: theoretical model and recommendations for best clinical practice. *International Journal of Forensic Mental Health*, 6, 169–183.
- Bernstein, D. and Fink, L.** (1998). *Childhood Trauma Questionnaire: a retrospective self-report manual*. San Antonio, TX: The Psychological Corporation.
- Bernstein, D. P., Stein, J. A., Newcomb, M. D., Walker, E., Pogge, D., Ahluvalia, T., Stokes, J., Handelsman, L., Medrano, M., Desmond, D. and Zule, W.** (2003). Development and validation of a brief screening version of the Childhood Trauma Questionnaire. *Child Abuse and Neglect*, 27, 169–190.
- Boomsma, A.** (1982). Robustness of LISREL against small sample sizes in factor analysis models. In K. G. Joreskog and H. Wold (Eds.), *Systems Under Indirect Observation: causality, structure, prediction (Part I)* (pp. 149–173). Amsterdam: North Holland.
- Butler, A. C., Brown, G. K., Beck, A. T. and Grisham, J. R.** (2002). Assessment of dysfunctional beliefs in borderline personality disorder. *Behaviour Research and Therapy*, 40, 1231–1240.
- Clark, L., Livesly, W. and Morey, L.** (1997). Special feature: personality disorder assessment: the challenge of construct validity. *Journal of Personality Disorders*, 11, 205–231.
- Clark, L. A. and Harrison, J. A.** (2000). Assessment instruments. In W. Livesly (Ed.), *Handbook of Personality Disorders. theory, research, and treatment* (pp. 277–306). New York: the Guilford Press.
- Cloninger, R. C., Przybeck, T. R., Svrakic, D. M. and Wetzel, R. D.** (1994). *The Temperament and Character Inventory (TCI): a guide to its development and use*. Washington University: Center for Psychobiology of Personality.
- de Jong Gierveld, J. and van Tilburg, T. G.** (1999). *Manual of the Loneliness Scale*. Vrije Universiteit Amsterdam: Department of Social Research Methodology.
- Dreessen, L. and Arntz, A.** (1995). *The Personality Disorder Beliefs Questionnaire*. Maastricht: Author.
- Duijsens, I., Spinhoven, P., Goekoop, J., Spermon, J. and Eurelings-Bontekoe, E.** (2000). The Dutch temperament and character inventory (TCI): structure, reliability and validity in a normal and psychiatric outpatient population. *Personality and Individual Differences*, 28, 487–499.
- Duijsens, I. and Spinhoven, P.** (2000). *Handleiding van de Nederlandse Temperament en Karakter Vragenlijst [TCI. Manual of the Dutch Temperament and Character Inventory]*. Leiderdorp: Datec.
- Ellis, A.** (1962). *Reason and Emotion in Psychotherapy*. New York: Lyle-Stuart.
- First, M., Spitzer, R., Gibbon, M., Williams, J. and Benjamin, L.** (1994). *Structured Clinical Interview for DSM-IV Axis II Personality Disorders (SCID II)*. New York: Biometric Research Department.
- First, M. B., Spitzer, R. L., Gibbon, M. and Williams, J. B. W.** (1997). *Structured Clinical Interview for DSM-IV Axis I Disorders (SCID I)*. New York: Biometric Research Department.

- Giesen-Bloo, J., van Dyck, R., Spinhoven, P., van Tilburg, W., Dirksen, C., van Asselt, T., Kremers, I., Nadort, M. and Arntz, A. (2006). Outpatient psychotherapy for borderline personality disorder: randomized trial of Schema-focused Therapy versus Transference-Focused Psychotherapy. *Archives of General Psychiatry*, 63, 649–658.
- Griffin, D. W. and Bartholomew, K. (1994). The metaphysics of measurement: the case of adult attachment. In K. Bartholomew and D. Perlman (Eds.), *Advances in Personal Relationships: attachment processes in adult relationships* (Vol. 5, pp. 17–52). London: Jessica Kingsley.
- Holzinger, K. J. (1944). A simple method of factor analysis. *Psychometrika*, 9, 247–262.
- Hu, L. and Bentler, P. M. (1998). Fit indices in covariance structure modeling: sensitivity to underparameterized model misspecification. *Psychological Methods*, 3, 424–453.
- Jöreskog, K. G. and Sörbom, D. (2001). *LISREL 8.54*. Chicago: Scientific Software International.
- Koopmans, P. C., Sanderman, R., Timmerman, I. and Emmelkamp, P. M. G. (1994). The Irrational Beliefs Inventory (IBI): development and psychometric evaluation. *European Journal of Psychological Assessment*, 10, 15–27.
- Lobbetael, J. and Arntz, A. (2010). The influence of an abuse induction on direct and indirect schema modes. *Behavior Research and Therapy*, 48, 116–124.
- Lobbetael, J., Arntz, A. and Sieswerda, S. (2005). Schema modes and childhood abuse in borderline and antisocial personality disorders. *Journal of Behavior Therapy and Experimental Psychiatry*, 36, 240–253.
- Lobbetael, J., Arntz, A., Cima, M. and Chakhssi, F. (2009). Effects of induced anger in patients with antisocial personality disorder. *Psychological Medicine*, 39, 557–568.
- Lobbetael, J., Leurgans, M. and Arntz, A. (in press). Inter-rater reliability of the Structured Clinical Interview for DSM-IV Axis I Disorders (SCID I) and Axis II Disorders (SCID II). *Clinical Psychology & Psychotherapy*.
- Lobbetael, J., van Vreeswijk, M. and Arntz, A. (2007). Shedding light on schema modes: a clarification of the mode concept and its current research status. *Netherlands Journal of Psychology*, 63, 76–85.
- Lobbetael, J., van Vreeswijk, M. and Arntz, A. (2008). An empirical test of schema mode conceptualizations in personality disorders. *Behaviour Research and Therapy*, 46, 854–860.
- MacCallum, R. C., Zhang, S., Hong, S. and Widaman, K. F. (1999). Sample size in factor analysis. *Psychological Methods*, 4, 84–99.
- Nunnally, J. C. (1967). *Psychometric Theory*. New York: McGraw-Hill.
- Nunnally, J. C. and Bernstein, I. H. (1994). *Psychometric Theory* (3rd ed.). New York: McGraw-Hill.
- Scher, C. D., Stein, M. B., Asmundson, G. J. G., McCreary, D. R. and Forde, D. R. (2001). The Childhood Trauma Questionnaire in a community sample: psychometric properties and normative data. *Journal of Traumatic Stress*, 14, 843–857.
- Schreurs, P. J. G., van de Willige, G. and Brosschot, J. F. (1993). *De Utrechtse Coping Lijst: UCL [The Utrecht Coping List]*. Lisse: Swets en Zeitlinger.
- Spielberger, C. D., Jacobs, G. A., Russel, S. F. and Crane, R. S. (1983). Assessment of anger: the State Trait Anger Scale. In J. Butcher and C. Spielberger (Eds.), *Advances in Personality Assessment* (Vol. 2, pp. 112–134). Hillsdale, NJ: Erlbaum.
- Timmerman, I., Sanderman, R., Koopmans, P. C. and Emmelkamp, P. M. G. (1993). *Het meten van irrationele cognities met de Irrational Belief Inventory (IBI-50): een handleiding*. Groningen: Noordelijk Centrum voor Gezondheidsvraagstukken, NCG.
- van Asselt, A. D. I., Dirksen, C. D., Arntz, A., Giesen-Bloo, J. H., van Dyck, R., Spinhoven, P., van Tilburg, W., Kremers, I. P., Nadort, M. and Severens, J. L. (2008). Out-patient psychotherapy for borderline personality disorder: cost-effectiveness of schema-focused therapy versus transference-focused psychotherapy. *British Journal of Psychiatry*, 192, 450–457.
- van de Ploeg, H., Defares, P. and Spielberger, C. (1982). *Handleiding bij de Zelf Analyse Vragenlijst, ZAV*. Lisse: Swets and Zeitlinger.

- Wang, W. L., Lee, H. L. and Fetzer, S. J.** (2006). Challenges and strategies of instrument translation. *Western Journal of Nursing Research*, 28, 310–321.
- Young, J. E., Arntz, A., Atkinson, T., Lobbetael, J., Weishaar, M. E., van Vreeswijk, M. F. and Klokman, J.** (2007). *The Schema Mode Inventory*. New York: Schema Therapy Institute. <http://www.schematherapy.com/id49.htm>
- Young, J. E., Klosko, J. and Weishaar, M. E.** (2003). *Schema Therapy: a practitioner's guide*. New York: Guilford.